

Anti-Money Laundering Policy

Trust & Custody Services Bank, Ltd.

We, Trust & Custody Services Bank, Ltd., hereby acknowledge an importance of preventing money laundering, terrorist financing, and financing of proliferation (hereinafter referred to as “ML/TF”) and shall make our best effort to prevent ourselves (hereinafter referred to as “TCSB”), including our customers and our employees, from engaging and/ or providing assistance to engage in ML/TF in order to contribute to maintain a stability and assist a development of the sound global financial system.

(Policy)

- TCSB shall comply with any laws and regulations related to preventing ML/TF that apply.
- TCSB shall not accept any customers or transactions involved with ML/TF.
- TCSB shall establish an appropriate framework to prevent ML/TF and conduct the following measures:
 - Risk assessment of ML/TF
 - Customer Due Diligence such as KYC for preventing ML/TF
 - Suspicious activity reporting and implementing applicable measures such as Asset Freeze

(Management Framework)

In order to establish an appropriate framework to prevent ML/TF, TCSB shall implement the following measures:

- Establishment and development of the organizational structure, policies and procedures for preventing ML/TF
- Trainings to ensure all directors and employees understand the importance of preventing ML/TF and awareness of each role and responsibility in the framework
- Assessment of compliance status of the framework for preventing ML/TF and sustained improvement of framework, based on the results of the assessment